

SHARED AUDIT AND INVESTIGATION SERVICE

PROGRESS OF WOKINGHAM BOROUGH COUNCIL INTERNAL AUDIT PLAN 2014/15 (to 31 March 2015)

AUDIT TITLE	DIRECTORATE	STATUS	OPINION
<u>2013/14 Audits Carried Forward</u>			
Schools financial controls	Children's Services	FINAL	R
Crazies Hill	Children's Services	FINAL	N/A
Emmbrook Infant	Children's Services	FINAL	N/A
Emmbrook Junior	Children's Services	FINAL	N/A
Hawthorns Primary	Children's Services	FINAL	N/A
Robert Piggott	Children's Services	FINAL	N/A
South Lake	Children's Services	FINAL	N/A
St Nicholas	Children's Services	FINAL	N/A
Westende	Children's Services	FINAL	N/A
Winnersh	Children's Services	FINAL	N/A
All Saints	Children's Services	FINAL	N/A
Coombes	Children's Services	FINAL	N/A
Early Years	Children's Services	FINAL	N/A
Major Project Governance	Cross Cutting	FINAL	R
Corporate Manslaughter	Cross Cutting	FINAL	R
Corporate Governance	Cross Cutting	FINAL	R
Ethical Governance	Cross Cutting	FINAL	R
Information Governance	Cross Cutting	FINAL	R
Housing Benefits	Finance & Resources	FINAL	G
Financial Reporting and Budgetary Control	Finance & Resources	FINAL	G
Capital Programme (Accounting)	Finance & Resources	FINAL	R
Council Tax & NNDR	Finance & Resources	FINAL	G
Cashiers	Finance & Resources	FINAL	G
Creditors	Finance & Resources	FINAL	G
Debtors	Finance & Resources	FINAL	R
Main Accounting	Finance & Resources	FINAL	G
Payroll	Finance & Resources	FINAL	R
Loss of Confidential Data	Finance & Resources	FINAL	R
Effectiveness of Internal Audit and Counter Fraud	Governance & Improvement	FINAL	E
Effectiveness of Audit Committee	Governance & Improvement	FINAL	E
Risk Management	Governance & Improvement	FINAL	R
Balanced Scorecard	Governance & Improvement	FINAL	N/A
Service Planning	Governance & Improvement	FINAL	N/A
<u>2014/15 Audits</u>			
<u>Key Financial Systems</u>			
Benefits	Finance & Resources	FINAL	R
Housing Rents	Finance & Resources	DRAFT	L
Budgetary Control & Reporting	Finance & Resources	DEFERRED	-
Capital Programme (Allocation, Accounting & Budgetary Control)	Finance & Resources	DRAFT	R
Council Tax & NNDR	Finance & Resources	FINAL	R
Fixed Asset Register	Finance & Resources	FINAL	N/A
Material Schools	Finance & Resources	FINAL	G
VAT	Finance & Resources	Assurance from HMRC	
Treasury Management	Finance & Resources	DRAFT	R
BACS	Finance & Resources	DRAFT	R
Bank Reconciliations	Finance & Resources	DRAFT	R
Cashiers	Finance & Resources	FINAL	R
Main Accounting	Finance & Resources	DRAFT	R
WISEr Reconciliation Checks	Finance & Resources	FINAL	N/A

Payroll	Finance & Resources	DRAFT	R
Schools financial controls	Finance & Resources	FINAL	N/A
Creditors	Finance & Resources	DRAFT	R
Debtors	Finance & Resources	DRAFT	L
<u>Grant Certification</u>			
Troubled Families/Family First Grant Certification (x3)	Children's Services	FINAL	Cert
Integrated Transport IT Block & Integrated Transport	Environment	FINAL	Cert
Local Sustainable Transport Fund (Travel Behaviour and	Environment	FINAL	Cert
Decent Homes and Twyford Orchards grant	Health & Wellbeing	FINAL	Cert
<u>Internal Audit Assurance Work</u>			
Corporate Governance	Cross Cutting	DRAFT	-
Ethical Governance	Cross Cutting	FINAL	N/A
	Cross Cutting	Assurance	
Information Governance		from ICO	
Effectiveness of Internal Audit	Cross Cutting	WIP	-
Effectiveness of Audit Committee	Cross Cutting	WIP	-
Risk Management	Cross Cutting	DRAFT	R
Balanced Scorecard	Cross Cutting	FINAL	R
Corporate/Service Planning	Cross Cutting	FINAL	R
WISER Security Controls	Finance & Resources	FINAL	N/A
Major Corporate Projects	Governance & Improvement	DRAFT	-
Community Infrastructure Levy (S106 Transition)	Environment	FINAL	R
Tender Opening Attendance	Governance & Improvement	FINAL	N/A
Coaching Culture Embeddedness Review	Cross Cutting	DEFERRED	-
Keep Mobile Follow Up Memo	Health & Wellbeing	FINAL	N/A
Early St Peter's	Finance & Resources	FINAL	N/A
Oaklands Infant	Finance & Resources	FINAL	N/A
Bulmershe	Finance & Resources	FINAL	N/A
Southfield	Finance & Resources	FINAL	N/A
Foundry College	Finance & Resources	FINAL	N/A
<u>Risk Based Internal Reviews linked to CRR</u>			
School Place Provision - Corporate Risk 2	Children's Services	DRAFT	R
Safeguarding Vulnerable Adults - Corporate Risk 8	Health & Wellbeing	DRAFT	R
Infrastructure Repair - Corporate Risk 12	Environment	WIP	-
Safeguarding Vulnerable Children - Corporate Risk 7	Children's Services	DRAFT	R
Delivery of Key Objectives - Corporate Risk 14	Finance & Resources	DRAFT	R
Judicial Review - Corporate Risk 15	Governance & Improvement	DEFERRED	
Strategic Infrastructure Provision - Corporate Risk 19	Environment	DRAFT	R
Health and Social Care Failure - Corporate Risk 27	Health & Wellbeing	WIP	-
<u>Internal Audit Consultancy & Advisory Work</u>			
HWB Strategic Response to Increased Demand	Health & Wellbeing	DEFERRED	-
Property Services	Finance & Resources	WIP	
Public Health	Health & Wellbeing	FINAL	R
Retrospective Purchase Orders	Finance & Resources	FINAL	N/A
Facilitating the AGS	Operations	WIP	-